The application process in eGrants

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Project Manager Virtual Info Day Call 2022 21/11/2022



Finding the calls Call Matrix on CINEA's web

- In total 9 Calls and 41 topics, BUT pay attention for Italy General envelope is relevant (not Cohesion):
 - Projects on the Core Network CORE<u>GEN</u>
 - Projects on the Comprehensive Network COMP<u>GEN</u>
 - Smart and interoperable mobility SIMOB<u>GEN</u>
 - Sustainable and multimodal mobility SUSTMOB<u>GEN</u>
 - Safe and secure mobility SAFEMOB<u>GEN</u>
- Call Matrix published on the CINEA Call Page: <u>https://cinea.ec.europa.eu/funding-opportunities/calls-proposals/2022-cef-transport-call_en</u>

 \rightarrow By clicking on each call in the Matrix, you get redirected to the call page

• Please look into the Call text & topic descriptions in order to see what the conditions for each topic are.



Finding the calls Funding & tender opportunities portal



European Commission

Connecting Europe Facility



Funding & tender opportunities

ion Single Electronic Data Interchange Area (SEDIA)

SEARCH FUNDING & TENDERS 🔻 HOW TO PARTICIPATE 🔻 PROJECTS & RESULTS WORK AS AN EXPERT SUPPORT 💌

Connecting Europe Facility

Connecting Europe Facility (CEF) is the funding instrument for strategic investments in transport, energy and digital infrastructure to promote growth, jobs and competitiveness in the EU and contribute to deliver the European Green Deal.

- Find calls for proposals
- Projects & Results
- Priorities
- What's new?



Topic Selection

SEARCH FUNDING & TENDERS

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HOW TO PARTICIPATE V PROJECTS & RESULTS WORK AS AN EXPERT SUPPORT V

Funding and tenders (4) Division Need help? Sort by: Submission status Call for proposal Rail - studies, works or mixed CEF-T-2022-COREGEN-RAIL-WORKS Connecting Europe Facility (CEF) Status Open for submission Programme Type of action CEF Infrastructure Projects Deadline model single-stage 18 January 2023 17:00:00 Brussels time Opening date 13 September 2022 Deadline date Inland waterways and ports - studies, works or mixed Call for proposal CEF-T-2022-COREGEN-IWWP-WORKS

i Get

Grant

Grant

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| Programme | Connecting Europe Facility (CEF) | Status | Open for submission |
|----------------|----------------------------------|----------------|--|
| Type of action | CEF Infrastructure Projects | Deadline model | single-stage |
| Opening date | 13 September 2022 | Deadline date | 18 January 2023 17:00:00 Brussels time |

| ~ | Roads, rail-road termi CEF-T-2022-COREGEN-ROAD | Call for proposal Grant | | |
|-----|---|----------------------------------|----------------|---|
| × ~ | Programme | Connecting Europe Facility (CEF) | Status | Open for submission |
| | Type of action | CEF Infrastructure Projects | Deadline model | single-stage |
| | Opening date | 13 Sentember 2022 | Deadline date | 18. January 2023 17:00:00 Brussels time |

Q Type your Keywords... Match whole words only GRANTS TENDERS Submission status \checkmark Open for submission (4) Forthcoming Closed Programming period 2021 - 2027 (4) × × Connecting Europe Facility (CEF) Filter by call CEF-T-2022-COREGEN (4)

Topic page

6

| European Commission Single Electronic Data Interchange Area (SEDIA) | | | | | | | |
|--|-------------------------------------|---|--|--|--|--|--|
| A SEARCH FUNDING & TENDERS | | ESULTS WORK AS AN EXPERT SUPPORT 🔻 | | | | | |
| Connecting Europe Facility (CEF) | | | | | | | |
| Rail – studies, works TOPIC ID: CEF-T-2022-CORE | or mixed GEN-RAIL-WORKS | | | | | | |
| Grant | | | | | | | |
| General information | General information | | | | | | |
| Topic description | | | | | | | |
| Conditions and documents | Connecting Europe Facility (CEF) | | | | | | |
| Partner search announcements | Call | | | | | | |
| Submission service | CEF 2 Transport - Projects on the C | ore Network – General envelope <u>(CEF-T-2022-COREGEN)</u> | | | | | |
| Topic related FAQ | Type of action | a de la companya de l | Type of MGA | | | | |
| Get support | | 2013 | CEF ACtion Grant Duuget-Daseu (CEF-AG) | | | | |
| Call information | Deadline model single-stage | Opening date 13 September 2022 | Deadline date 18 January 2023 17:00:00 Brussels time | | | | |
| Call updates | | | | | | | |

European Commission

Registering your organisation

 A prerequisite for submitting a proposal is creation of an user account and registration of the applicant(s) and affiliated entities in the Funding & Tenders Portal



- By registering your organisation, you acquire a PIC number (= Participant Identification Code)
- Detailed instructions for registration are available in the Online Manual, section link: <u>Participant Register - Register your organisation</u>



Starting the submission

Start submission

To access the Electronic Submission Service, please click on the submission-button next to the **type of action** and the **type of model grant agreement** that corresponds to your proposal. You will then be asked to confirm your choice, as it cannot be changed in the submission system. Upon confirmation, you will be linked to the correct entry point.

To access existing draft proposals for this topic, please login to the Funding & Tenders Portal and select the My Proposals page of the My Area section.

Please select the type of your submission:

© CEF Infrastructure Projects [CEF-INFRA], CEF Action Grant Budget-Based [CEF-AG]



Deed help?



Submission IT tool

| Deadline 18 January 2023 17:00:00 Brussels Local Time | | In this step you can edit the Administrative Forms and upload the proposal and its annexes. You may return to this step from the "My Proposals' section of the Funding & Submit your proposal as early in the process as possible - you can update and resubmit an improved version many times before the call closure. | Tenders Porta | d. |
|--|-------------------------------|--|---------------|-----------|
| 112 cost sight until closure | | i Submitting a proposal will overwrite any previous versions of this same proposal - this process is irreversible. | | |
| | | Any changes made to the proposal will not be available to the European Commission service unless resubmitted before the call closure. | | |
| Call data | | | | |
| Call: CEF-T-2022-COREGEN | | Your proposal contains changes that have not yet been submitted. | | |
| Topic: CEF-T-2022-COREGEN-RAIL-WORKS | | | | |
| туре от асаон. СЕГ-нигкж | | Administrative forms (Part A) | | |
| Type of MGA: CEF-AG | | Edit forme | | |
| Topic and type of action can only be changed by creating | ng a new proposal. | | | |
| | | | | |
| Proposal data | | Part B and Annexes | | |
| Acronym: Test | | In this section you may upload the technical annex of the proposal (in PDF format only) and any other requested attachments. | | |
| Draft ID: SEP-210898399 | | Part B* | 0 | Upload 🛆 |
| | | Detailed budget table per WP * | | |
| | | betalled budget table per vir | _ ` | opicad C |
| Download part B templates | | Annual activity reports | 0 | Upload 👁 |
| | | List of previous projects | 0 | Upload 🛆 |
| Support & Helpdesk | | | | |
| | | Timetable/Gantt chart * | 0 | Upload 👁 |
| Online Manual | IT How To | Letters of support (MS _* Agreement) | 0 | Upload 👁 |
| S IT Helpdesk | S FAD | Full CBA report | 0 | |
| | | | _ | |
| Service Desk: | | Full CBA cash flow template | 0 | Upload 🛆 |
| EC-FUNDING-TENDER-SERVICE-DESK@ec. | europa.eu | Simplified CBA calculator | 0 | Upload 👁 |
| +32 2 29 92222 | | | _ | |
| | | Environmental compliance file " | 0 | |
| | | Military network map declaration | 0 | Upload 👁 |
| | | AFIF non-IP financial approval | | |
| | | letter | | Spillad C |



Key parts of the proposal

- **Part A** contains administrative information about the participants and the summarised budget for the project
 - \rightarrow To be filled in directly in the Submission IT Tool (no submission of a separate form)
- Part B detailed technical description of the proposed project
 - Template to be downloaded, filled in and uploaded in a designated section in the Submission IT Tool (120 pages limit)

Mandatory annexes

Templates to be downloaded, filled in and uploaded in a designated section in the Submission IT Tool



Budget requirements for proposals

1. Budget in Part A of the SEP submission

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- 2. Detailed budget table per work package Excel file uploaded as mandatory annex for all cost applications
- 3. Calculator for unit contributions : Excel file uploaded as mandatory annex for ERTMS & RFN



Example 1: Budget for Works or Mixed applications



Cost category D.3 allows to extend the base of eligible cost to elements of other CEF sector, same funding rate as other cost categories



Budget requirements for proposals

2.

3.

| | | | | START | (BUDGET FO | RECAST P | ER WP) | | | | | | |
|---|-------------------------------------|-------------------------|----|---|--|--------------------------|-----------------------|-----------------------|-----------------------|-----------------------|----------------|---------------------------------------|--------------------|
| 1. | | | | | PROJECT DATA | | | | | | | | |
| | Project number: Project acronym: | | | | | | | | | | | | |
| Work packag name WP name 1 WP name 2 | ge Funding R | tate 0% 0% | | PROJECT DATA Project number: Project acronym: BUDGET BREAKDO Reporting period can | DWN PER WORK PACK be added/deleted as neede | 0 0 KAGE AND PARTI | DETAILE | D BUDGET T | ABLE PER V | VP | | | |
| WP name 3 WP name 4 | | 0% | | Work Package | Participant | Reporting period 1 | Reporting period 2 | Reporting period 3 | Reporting period 4 | Reporting period 5 | Total costs | Funding rate (for work package) | EU contribution |
| Belletes | - | | 4. | WP name 1 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| Participant r | nt name | - | | WP name 1 | Participant name2 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| Participant r | amer | _ | | WP name 2 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| i al ticipant i | Iamez | | | WP name 3 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| | | | | WP name 4 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |

| | # | - | EU CONTRIBUTION | TOTAL COSTS 🔽 |
|----|---------------------------|---|-----------------|---------------|
| 5. | ENCODE VALUE FROM EGRANTS | 5 | 0 | 0 |
| | DIFFERENCE | | 0 | 0_ |



Budget requirements for proposals

- Consequences for budget planning and structure
- The amounts entered into the summarised budget table (filled in directly online) must correspond to the amounts calculated in the detailed budget table. In case of discrepancies, the amounts in the online summarised budget table will prevail.
- Plan and prepare your accounting: Any cost foreseen to be claimed for reimbursement in the future must be clearly attributable under both approaches (cost categories and work packages)
- Define work packages with homogenous activities only under a single funding rate to avoid complexity of financial reporting!



Pay attention to terminology

- **Project**: the term 'project' used in the call text, application form and other documents is synonymous to the term 'action' used in the CEF Regulation (see definition article 2).
 - Article 2 (CEF Regulation 2021/1153): "action" means any activity which has been identified as financially and technically independent, has a set time-frame and is necessary for the implementation of a project.
- Work Package: a Work Package (WP) is a major subdivision of the project (equivalent to activity under CEF1):
 - Example: Project Management or preparation of Detailed Design
 - WPs can run in parallel and/or be sequential
- Task: subdivision of a Work Package
 - Example: Within WP "Project Management": coordination meetings, accounting, project monitoring.

Timetable and deadlines

| Timetable and deadlines (indicative) | | | | | | |
|--------------------------------------|-----------------------------|--|--|--|--|--|
| Call opening | 13 September 2022 | | | | | |
| Deadline for submission | 18 January 2023 (17:00 CET) | | | | | |
| Evaluation | January – May 2023 | | | | | |
| Information on evaluation results | June 2023 | | | | | |
| GA signature | September / October 2023 | | | | | |



Applicant's checklist (1/3)

- Proposals must be submitted before the call deadline <u>18 January 2023</u>, 17:00 CET.
 - Complete your application sufficiently in advance to avoid any last minute technical problems. Don't leave submission for the last day!
- Proposals must be submitted electronically via the Funding & Tender Opportunities Portal - Electronic Submission system.
 - Paper or e-mail submissions are NOT possible.
- Proposals must be complete, i.e. include all documents and mandatory annexes.
 - Check section 5 of the call document!



Applicant's checklist (2/3)

- Make sure that you use the application forms and templates provided on the Submission System:
 - the use of those forms is **mandatory**
- Before submitting the application, make sure to:
 - encode all sections of application form <u>part A</u> directly in the F&T platform
 - indicate the location of the action through the GIS data button
 - read, complete and upload application form <u>part B</u> (limited to maximum 120 pages any additional pages will be made invisible to the evaluators by the system)
 - attach all mandatory annexes



Applicant's checklist (3/3)

• **Scope**: make sure your proposal fit in the scope of the Work Programme and the call for proposals for which you are applying.

• Proof read your proposal:

- Do one last check to ensure that your proposal is clear and easy to follow and explain issues, including local context, that may be evident to you
- External evaluators can only assess your proposal on the basis of provided information no assumptions will be made
- All beneficiaries, affiliated entities and associated partners must be registered in the Participant Register before submitting the application.



Need help?

- Online Manual: Step-by-step online guidance
- <u>IT-How-to</u>: IT guidance with screenshots
- <u>IT helpdesk</u>: Contact the IT helpdesk for questions such as forgotten passwords, access rights and roles, technical aspects of submission of proposals, etc.
- FAQ related to the 'submission of proposals' process
- Call related questions: <u>CINEA-CEF-TRANSPORT-CALLS@ec.europa.eu</u>
- Service desk: <u>EC-FUNDING-TENDER-SERVICE-DESK@ec.europa.eu</u>



Frequently Asked Questions (FAQ)

• Topic related FAQ can be found in the topic pages

| Rail – studies, works or mixed TOPIC ID: CEF-T-2022-COREGEN-RAIL-WORKS Grant | | |
|--|---|-------------------|
| General information | General information | |
| Topic description | | |
| Conditions and documents | Programme Connecting Europe Facility (CEF) | |
| Partner search announcements | Call | |
| Submission service | CEF 2 Transport - Projects on the Core Network - General envelope (CEF-T-2) | 022-COREGEN) |
| Topic related FAQ | Type of action | |
| Get support | CEF-INFRA CEF Infrastructure Projects | |
| Call information | Deadline model | Opening date |
| Call updates | single-stage | 13 September 2022 |



Topic related FAQ

| Topic related FAQ | ٩ | Search | | |
|--|--|---|---------------------------|---|
| Under CEF Transport Military Mobility Call, what information should be provided in The applicant should clearly describe in the Application Form - Technical Description | the application fo n, Part B (point 0, F | rm regarding the project's compliance Project description) which dual-use requ | with th Jiremer | ne dual-use requirements defined in the Commission Implementing Regulation (EU) 2021/1328? Ints are addressed by the project |
| Q Under CEF Transport Military Mobility Call, are any of the dual-use requirements de | efined in the Comm | nission Implementing Regulation (EU) | 2021/1 | 1328 given a higher priority? |
| No, all dual-use requirements defined in the Commission Implementing Regulation (| EU) 2021/1328 are | e of equal priority. The dual-use requirer | ments t | to be met by a project must correspond |
| Solution CEF Transport Military Mobility Call, can one project cover different transport Yes, if relevant for civilian-defence dual-use, a project under CEF Transport Military M | rt modes? Mobility Call can co | over different transport modes. Howeve | r, if a p | project covers different trans |
| Under CEF Transport, can a project be financed under Military Mobility Call if it is n No, to be eligible, projects aiming at enabling civilian-defence dual use of the infrast | not located on the or ructure must be lo | current TEN-T network but may be inclu cated on the current TEN-T network as | uded in defined | n the TEN-T network following its revision? d by Regulation (EU) No 1315/201 |
| Q Under CEF Transport, are investments in new and innovative rolling stock for civilia No. Investments in rolling stock are not eligible under the Military Mobility call. | an-defence dual us | se eligible? | | |
| \mathcal{Q} Under CEF Transport, what is included in the EU military transport network and whe | ere can it be found | I? Does it differ from TEN-T network? | | |
| The EU military transport network is identified by the Council in the Military Requiren | ments for Military N | Mobility within and beyond the EU appro | oved on | n 20 November 2018 and last updat |
| Q Under CEF Transport, does the project need to be located on the TEN-T network? | | | | |
| Yes. To be eligible, projects aiming at enabling civilian-defence dual use of the infras | structure must be l | located on the TEN-T network. In addition | on, the | projects must be also located |
| Q Under CEF Transport Military Mobility Call, are projects exceeding the dual-use reg | uirements eligible | •? | | |
| If a project exceeds any of the dual-use requirements specified in the Commission Ir | mplementing Regu | ulation (EU) 2021/1328, it is still eligible | to app | ply, but co-funding would be possi |



General CEF FAQs

 General FAQ can be searched with the term 'CEF Transport' in the FAQs and exported into a dataset

| European Commission | Fundi Single Electr | ng & tender opportunities onic Data Interchange Area (SEDIA) | 3 | English E Register Login |
|---|------------------------|--|--|--|
| SEARCH FUNDING & TENDER | s 🔻 ноw | TO PARTICIPATE - PROJECTS & RESULTS WORK AS A | | |
| Proposal submission service r may cause. | nay not be ava | ailable between 30/09/2022 07:30:00 and 30/09/2022 10:00:0 | Support overview 0, while syst Guidence & Manuals FAQ | pologize for any inconvenience this $~	imes~$ |
| FAQ - Frequently As | sked Qu | lestions (543) | Support videos | Need help? |
| CEF Transport Match whole words only Grants Grants Grants Grants | × • | and Participant Validation, IT Helpdesk, eProcureme In case of questions related to a call for tenders, the clarification must be done exclusively within the call | nt Helpdesk, Call Coordinators and Horiz re is always a specific timeslot to ask qu for tenders on eTendering . Read about c | estions and requesting information, calls for tenders. |
| Select a grant category | ~ | Q Under CEF Transport Military Mobility Call, are ERT eligible? | MS deployment, recharging infrastructure and | d retrofitting rail freight (noisy) wagons |
| Select a tender category Programming period | ~ | Under CEF Transport, are cycle parking facilities ne Yes, under CEF Transport cycle parking facilities in c | sar a multimodal hub considered eligible? connection to multimodal hubs are eligible. | s are not engine under the military mountly Cal |
| Select a programme period | ~ | Under CEF Transport ERTMS topic, will the Unit Con Yes, under CEF Transport CEF-T-2021-SIMOBGEN ar | ntributions apply irrespective of the actual cos nd CEF-T-2021-SIMOBCOEN, the Unit Contribut | sts incurred by the beneficiary? ions as defined in the "Decision authorising the |
| Select a programme | ~ | Q Under CEF Transport, can the CBA for Global Project In principle yes, provided the application demonstration | et be submitted for an action forming part of t tes that all components of the Global Project a | he Global Project? are "necessary" for the action to deliver the inte |



The evaluation process and award criteria

Julián Espina

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CEF- Transport evaluation process





1. Admissibility check

Admissible proposal (section 5 of call document):

is electronically submitted on time,
 contains the forms provided inside the Submission System, and
 is complete:

□ Application Form Part A (to be filled in directly online)

Application Form Part B

□ Mandatory Annexes



Mandatory annexes

Agreement by the concerned Member States (benefitting from the project) – for all applications

Detailed budget table per Work Package and calculator– for all applications

□Timetable/Gantt chart – for all applications

Environmental compliance file - for all applications except ERTMS and RFN

Activity reports of last year and List of previous projects (key projects for the last 4 years) (template available in Part B) - except if exempted from operational capacity check: Public bodies, Member State organisations, and international organisations are exempted from the operational capacity check. Also, beneficiaries of grants under CEF 1 and 2 are not required to submit this annex.

□Full cost-benefit analysis (CBA) report and CBA cash flow template - only for works or mixed projects with a budget (eligible costs) above EUR 10 million

Simplified CBA calculator – only for works or mixed projects with a budget (in the costs) below EUR 10 million

Environmental compliance file

- Please note:
 - The environmental compliance file must be submitted for all applications (even if they cover only studies without physical intervention).
 - The declarations accompanying the file must be submitted in addition, when applicable, and do not replace the environmental compliance file.
 - For studies proposals not subject to environmental compliance, the file must be uploaded while ticking the box of project type 'Studies without physical intervention'. Thereby, the other parts of the file are not applicable and do not need to be filled in.



2. Eligibility check

□Eligible proposal (section 5 of call document):

- □Submitted by **applicants** who are legal entities (public or private bodies) <u>established in the</u> <u>EU Member States</u> (exceptionally third countries)
- □The **activities** proposed are within the technical scope of the topic described in section 2 of call document;
- The **geographic location** of the project is on the TEN-T network (core and/or comprehensive)
- □ The duration of the project for works or mixed projects should be 4-5 years maximum, and for studies projects it should be 2-3 years maximum.
 - The earliest starting date may be the proposal submission
 - The end date cannot be later than 31/12/2027
- Any budget requested is admitted but recommended to be min EUR 1 million of EU contribution requested.





Priority & Urgency

Correspondence with overall EU objectives (Green Deal) and sectoral – TEN-T network, including CB link as listed in part III of Annex to CEF Regulation, contribution to the corridor work plans and any network effect

Relevance: if the proposal addresses the WP/Call/Topic objectives

EU added-value: EU interest demonstrated and benefits vs national/regional/local level

Synergies with other EU programmes, other CEF sectors (Energy and Digital), and synergetic elements (for Works topics only)

Taking into account:

□ the EU new situation created by the Russian war of aggression against Ukraine,

- □ the communication on solidarity lanes (COM/2022/217/Final), and
- □ the EU mission on the "100 Climate Neutral and Smart Cities".



Maturity

- □ Readiness/ability of the project to start by the proposed start date and to complete by the proposed end date (technical maturity under responsibility of the applicants),
- □Status of the necessary contracting procedures and permits (procedural maturity beyond the remit of the applicant),
- □Financial availability needed to complement the CEF investment (financial maturity funds needed for completing the project), and
- **Correspondence** between the **technical planning and financial profile**.
- □Works/mixed proposals: demonstrating key steps of the environmental impact assessment by the date of application: an EIA report prepared by the project promoter and consultations carried out under the EIA Directive, to be followed by the development consent procedure that may be completed after the submission of the CEF application



Operational capacity check: Assessing the competence and experience of the applicants and their project teams

- The **implementation plan proposed**, from technical (WP well structured) and financial (cost effective) point of view,
- Design approach, the **organisational structures** (project management) put in place (or foreseen) for the implementation,
- □ Risk analysis/management, the control and quality procedures, and
- The communication strategy to provide visibility to CEF funding,

□Sustainability and maintenance strategy for the completed project, if applicable for works



Impact

□Socio-economic impact of the project – based on the CBA analysis when applicable

- Environmental and climate impact of the project climate change targets, impact on air pollutants, and (possible) greenhouse gas emission reductions
- □ Climate resilience (for Works topics)

- measures of climate proofing set for mitigation and adaptation of the proposed infrastructure (as specified the Technical guidance on the climate proofing of infrastructure)

As indicated in the WP, climate proofing is recommended (not yet required) for projects with already key steps of the EIA completed.



Impact

Other impacts on congestion, modal split, safety and security, service quality, and noise emissions

Decision-making tool, input for policy making or development of best practices (for Studies topics)

Effects on the interoperability of the transport systems/modes and territorial accessibility in the TEN-T network (i.e. the cross-border dimension), innovation and digitalisation, competition, regional and local development and land use, and outermost regions.



Catalytic Effect

□Effect of the **CEF funding on the realisation of the project**

Overcoming a financial gap generated by insufficient financial viability, high upfront costs and/or the lack of market finance

□**Financial leverage**: Increasing the capacity to mobilise differentiated sources i.e. public and private investments & accelerating the overall investment plan

Improving the quality of the project

□Effects on the **stakeholder commitment/acceptance of the project**



Lessons learnt from the evaluation of proposals under 2021 calls

Low quality of the description of proposals:

- Work packages detailed unclearly with insufficient number of milestones and unclear deliverables
- □Work packages covering several distinct tasks with no costs broken down (per task) res
- Limited risk analysis with incomplete mitigation measures
- Communication tasks described too vaguely



The socio-economic assessment

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CBA Requirement

- The requirement for socio economic assessment has been streamlined in this programming period to take account of the added value of the tool for each priority
- There are now three levels of granularity of information
 - Full CBA
 - Simplified CBA
 - For some categories no CBA is required
- No more Cost Effectiveness Analysis (CEA)



Full CBA

- As for the previous programming period, "full CBA" is composed of:
 - a standalone text document, with a minimum length of 20 pages, and

• the CBA Cash Flow template filled in to present the results of the CBA in a harmonized format.



Simplified CBA

- The simplification consists in
 - dropping the requirement for the standalone text document, and
 - replacing the CBA Cash Flow template with the simplified CBA excel calculator
- The simplified CBA calculator requires fewer inputs to produce the output indicators by automating the calculation of externalities and the monetisation of time saving.
- When a simplified CBA is required applicants may always submit a full CBA if they wish so (but then they have to respect those requirements).

Simplified CBA calculator

- It is important to configure correctly the calculator for CEF Transport calls.
- In this respect, please make sure to choose the following 3 settings in the general assumption sheet ("G.Asm").

| | Cell | Appearance |
|--------------------------------|------|--|
| Select "Transport sector" | F11 | Sector Transport |
| Select "Cost Benefit Analysis" | F13 | Economic appraisal method Cost-benefit analysis |
| Activate "Financial analysis" | F75 | Do you want to calculate the financial performance Yes |



Simplified CBA calculator

- The tool comes as "Excel Macro-Enabled Workbook (*.xlsm)" file but the evaluation environment applies limitations to this file format.
- Before submitting the Simplified CBA calculator, Applicants must save it as "Excel Workbook (*.xlsx)". This will remove the Visual Basic project (i.e. the code of the Macros)

| File name: | Simplified CBA project XXXX.xlsx | ~ |
|---------------|----------------------------------|------------------------|
| Save as type: | Excel Workbook (*.xlsx) | ~ |
| | | European Commission |

CBA requirement by priority

| Work programme "Objectives" | Priorities | Requirement |
|---|--|--|
| 6.1 Projects related to the efficient, interconnected, interoperable and multimodal networks | | |
| | Railways | Full CBA / Simplified < 10 m€ * |
| | Inland waterways and inland ports | Full CBA / Simplified < 10 m€ * |
| | Maritime ports | Full CBA / Simplified < 10 m€ * |
| | Roads, rail-road terminals, connections to airports and multimodal logistics platforms | Full CBA / Simplified < 10 m€ * |
| 6.2 Projects relating to smart, interoperable, sustainable, multimodal, inclusive, accessible, safe and secure mobility | | |
| 6.2.1 Projects related to smart and interoperable mobility | ERTMS | No CBA |
| | ITS | No CBA |
| | RIS | No CBA |
| | SESAR common projects | No CBA |
| | SESAR other projects | No CBA |
| | Transport interoperability | No CBA |
| 6.2.2 Projects related to sustainable and multimodal mobility | Alternative fuels infrastructure | Implementing Partner: No CBA Others: Simplified CBA |
| | Motorways of the Seas | Full CBA / Simplified < 10 m€ * |
| | Multimodal passenger hubs | Full CBA / Simplified < 10 m€ * |
| | Reduction of rail freight noise | No CBA |
| 6.2.3 Projects related to safe and secure mobility | Safe and secure parking infrastructure | Full CBA / Simplified < 10 m€ * |
| | Road safety | Full CBA / Simplified < 10 m€ * |
| | Projects improving transport infrastructure resilience | Full CBA / Simplified < 10 m€ * |
| | External border checks | Full CBA / Simplified < 10 m€ * |
| 6.3 Military mobility | | |
| | Military mobility | No CBA |

Grandfathering

- Applications relating to a new phase of a project under construction <u>and</u> already supported by CEF may re-submit the CBA originally submitted if
 - the new project was already included in the scope of the original CBA
 - no significant changes have occurred (nature, scale and scope of the project or its context/background) that may impair the value of the original CBA (e.g. new competition, technological breakthrough, new normative constraint, social conditions, uses and customs).
- If applicants decide to re-submit the original CBA they must confirm that these two conditions are met and explain their arguments in Application Form part B section 4.2 also indicating to reference/code of the original proposal.

Note on Scope of Socio-Economic Assessment

- Three principal steps to undertake in order to determine the correct scope of the CBA:
- All "necessary" components are added (unnecessary ones are removed)
- All inter-related dependant activities are added
- Effects on the wider transport network are added



Guidance on Economic Appraisal

• All information on CBA is gathered in a single document

Guidance on Economic Appraisal for CEF Transport projects

• If you still have doubts send us questions to:

CINEA-CEF-TRANSPORT-CALLS@ec.europa.eu



Keep in touch - CINEA



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